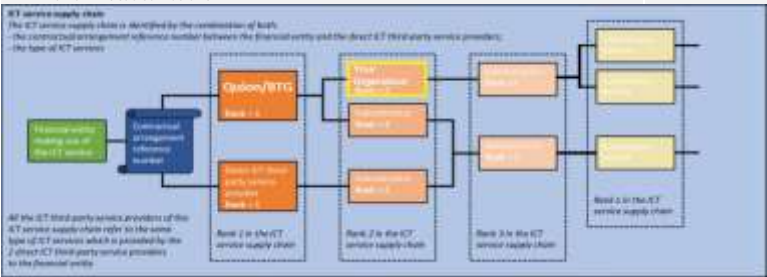


Column Code	Column Name	Type	Fill-in Instruction	Fill-in Option	Supplier to fill in
TEMPLATE RT.02.02: Contractual arrangements – Specific information					
b_02.02.0120	Country of the governing law of the contractual arrangement	Country	Identify the country of the governing law of the contractual arrangement using the ISO 3166–1 alpha-2 code.	Mandatory if the ICT	LISTCOUNTRY
b_02.02.0130	Country of provision of the ICT services	Country	Identify the country of provision of the ICT services using the ISO 3166–1 alpha-2 code.	Mandatory if the ICT service is supporting a critical or important function	LISTCOUNTRY
TEMPLATE RT.05.01: ICT third-party service provider					
b_05.01.0010	Identification code of ICT third-party service provider	Alphanumerical	Code to identify the ICT third-party service provider (LEI code, Corporate registration number, VAT number, Passport Number, National Identity Number)	Mandatory	
b_05.01.0020	Type of code to identify the ICT third-party service provider	Pattern	Identify the type of code to identify the ICT third-party service provider in b_05.01.0010 1. LEI' for LEI 2. Country Code+Underscore+Type of Code' for non LEI code Country Code: Identify the ISO 3166–1 alpha-2 code of the country of issuance of the other code to identify the ICT third-party service provider Type of Code: 1. CRN for Corporate registration number 2. VAT for VAT number 3. PNR for Passport Number 4. NIN for National Identity Number	Mandatory	
b_05.01.0030	Name of the ICT third-party service provider	Alphanumerical	Legal name of the ICT third-party service provider	Mandatory	
b_05.01.0040	Type of person of the ICT third-party service provider	Closed set of options	One of the options in the corresponding dropdown list shall be used. Providing the LEI is mandatory for legal person including natural persons acting in a business capacity	Mandatory	LIST0501040
b_05.01.0050	Country of the ICT third-party service provider's headquarters	Country	Identify the ISO 3166–1 alpha-2 code of the country in which the global operating headquarters of ICT third-party service provider are located.	Mandatory	LISTCOUNTRY
b_05.01.0080	Identification code of the ICT third-party service provider's ultimate parent undertaking	Alphanumerical	Code to identify the ICT third-party service provider's ultimate parent undertaking (LEI code, Corporate registration number, VAT number, Passport Number, National Identity Number)	Mandatory if the ICT third-party service provider is not the ultimate parent undertaking	
b_05.01.0090	Type of code to identify the ICT third-party service provider's ultimate parent undertaking	Pattern	Identify the type of code to identify the ICT third-party service provider's ultimate parent undertaking in b_05.01.0080 1. LEI' for LEI 2. Country Code+Underscore+Type of Code' for non LEI code Country Code: Identify the ISO 3166–1 alpha-2 code of the country of issuance of the other code to identify the ICT third-party service provider Type of Code: 1. CRN for Corporate registration number 2. VAT for VAT number 3. PNR for Passport Number 4. NIN for National Identity Number	Mandatory if the ICT third-party service provider is not the ultimate parent undertaking	
TEMPLATE RT.05.02: ICT service supply chains					

b_05.02.0050	Rank	Natural number	<p>The image shows which rank your organization is. The supply chain of the critical subcontractors needs to be mapped out. Please list your critical subcontractors (rank 3) and the critical subcontractors of your critical subcontractors (rank 4). If possible, please provide rank 5 and 6 as well.</p> <p>Fill in which rank the sub-contractor is.</p> 	Mandatory	
	Name of the ICT third-party service provider	Alphanumerical	Name of the critical sub-contractor		
b_05.02.0060	Identification code of the recipient of critical sub-contracted ICT services	Alphanumerical	<p>'Not applicable' if the ICT third-party service provider b_05.01.0010) is a direct ICT third-party service provider i.e. at 'rank' r = 1 (b_05.02.0050);</p> <p>If the ICT third-party service provider is at 'rank' r = n where n>1, indicate the 'Identification code of the recipient of sub-contracted services' at 'rank' r=n-1 that subcontracted the ICT service (even partially) to the ICT third-party service provider at 'rank' r=n.</p> <p>(LEI code, Corporate registration number, VAT number, Passport Number, National Identity Number)</p>	Mandatory Not applicable for rank 1	
b_05.02.0070	Type of code to identify the recipient of critical sub-contracted ICT services	Pattern	<p>'Not applicable' if the ICT third-party service provider b_05.02.0030) is at contracting rank r = 1 (b_05.02.0050);</p> <p>If the ICT third-party service provider is at 'rank' r = n where n>1, indicate the 'Type of code to identify the recipient of sub-contracted service' at 'rank' r=n-1 that subcontracted the ICT service (even partially) to the ICT third-party service provider at 'rank' r=n.</p> <p>1. LEI for LEI 2. Country Code+Underscore+Type of Code for non LEI code</p> <p>Country Code: Identify the ISO 3166-1 alpha-2 code of the country of issuance of the other code to identify the ICT third-party service provider</p> <p>Type of Code: 1. CRN for Corporate registration number 2. VAT for VAT number 3. PNR for Passport Number 4. NIN for National Identity Number</p>	Mandatory Not applicable for rank 1	

b_07.01.0070	Date of the last audit on the ICT third-party service provider	Date	<p>Use this column to provide the date of the last audit on the specific ICT services provided by the ICT third-party service provider.</p> <p>This column relates to audits conducted by:</p> <p>(i) the internal audit department or any other additional qualified personnel of the financial entity,</p> <p>(ii) joint team together with other clients of the same ICT third-party service provider ("pooled audit") or</p> <p>(iii) third party appointed by the supervised entity to audit the service provider.</p> <p>This column does not relate to the reception or reference date of third-party certifications or internal audit reports of the ICT third-party service provider, the annual monitoring date of the arrangement by the financial entity or the date of review of the risk assessment by the financial entity.</p> <p>This column shall be used to report all types of audits performed by any of the subjects listed above concerning fully or partially the ICT services provided by the ICT third-party service provider.</p> <p>To report the date, the ISO 8601 (yyyy-mm-dd) code shall be used.</p> <p>If no audit has been performed, it shall be filled in with '9999-12-31'.</p>	Mandatory	
--------------	--	------	---	-----------	--